



Towards a Sustainable Ecosystem for Data Driven Research and Innovation

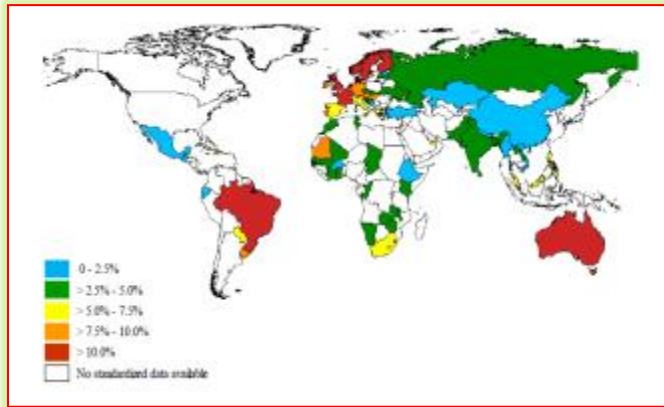
Dr. Francine Berman

Chair, Research Data Alliance / US

Edward P. Hamilton Distinguished Professor of Computer Science, Rensselaer Polytechnic Institute



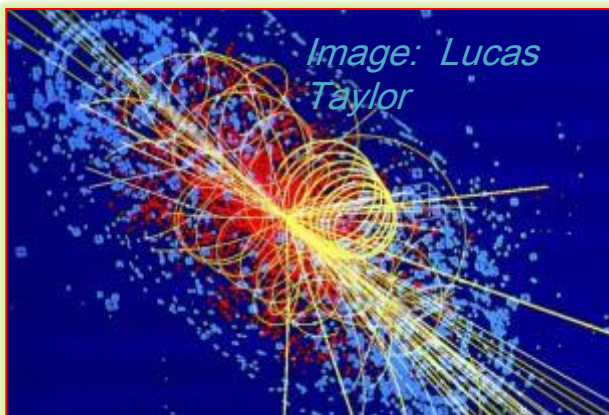
Innovation opportunity: **Research data driving solutions to scientific and societal challenges**



Who is most at risk to contract asthma?



How can we increase wheat yields?



How accurate is the Standard Model of Physics?



How can we best address energy needs and sustain the environment?

Image: Ceinturion, Wikipedia

Infrastructure reality: **Access, use and re-use of data now and in the future presupposes data sustainable stewardship and preservation *today***

- **Stewardship and Preservation critical: “Homeless” data cease to exist**
- Sustainable data infrastructure necessary to support
 - Data management plans
 - Public access to research data
 - Use and re-use of data
 - Reproducibility of results



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NEWS
11/2/2012
04:19 PM

Sandy A Grim Reminder: Back Up Your Data

Once again, disaster — this time Hurricane Sandy — reminds businesses and consumers that they should be backing up their data.

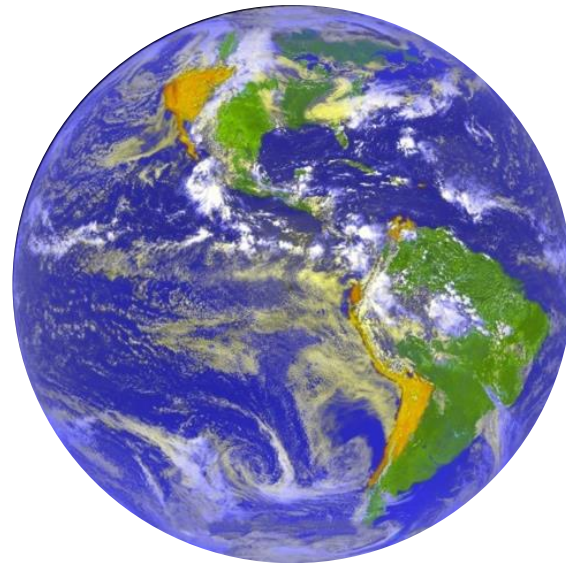


How can we usefully think about sustainability?

Sustainable development: "development that meets the needs of the present without compromising the ability of future generations to meet their own needs."

Our Common Future, U.N. Brundtland Commission

- **Key components**
 - Ecological sustainability
 - Cultural / institutional sustainability
 - Economic sustainability
 - Political sustainability



Planet image: NASA; Quote from "Our Common Future" <http://www.un-documents.net/our-common-future.pdf>



How can we measure sustainability?

- **Circles of Sustainability**

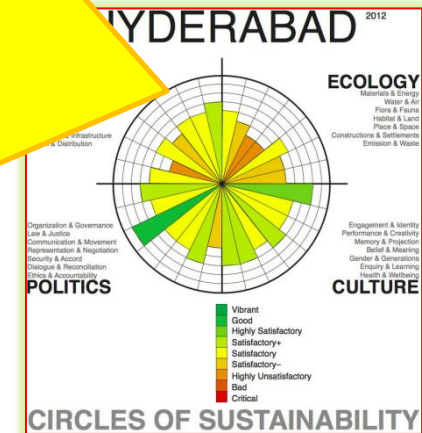
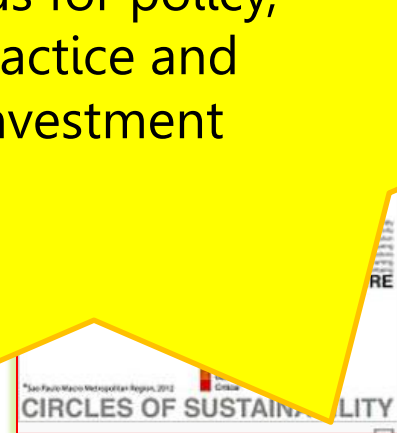
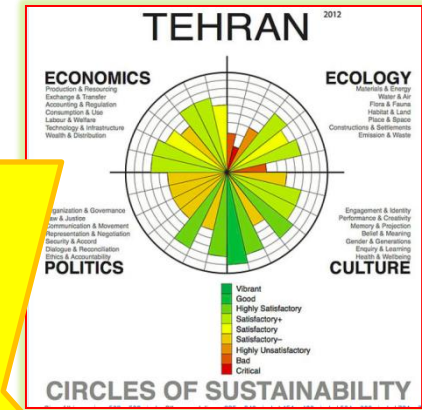
developed to assess and understand sustainability. Used

- For managing projects towards socially sustainable outcomes
- To assess the sustainability of cities and urban areas

- *Used by global organizations including the United Nations Compact Cities Programme, The World Association of Metropolitan Authorities, World Vision, and others.*

Assessment → Action

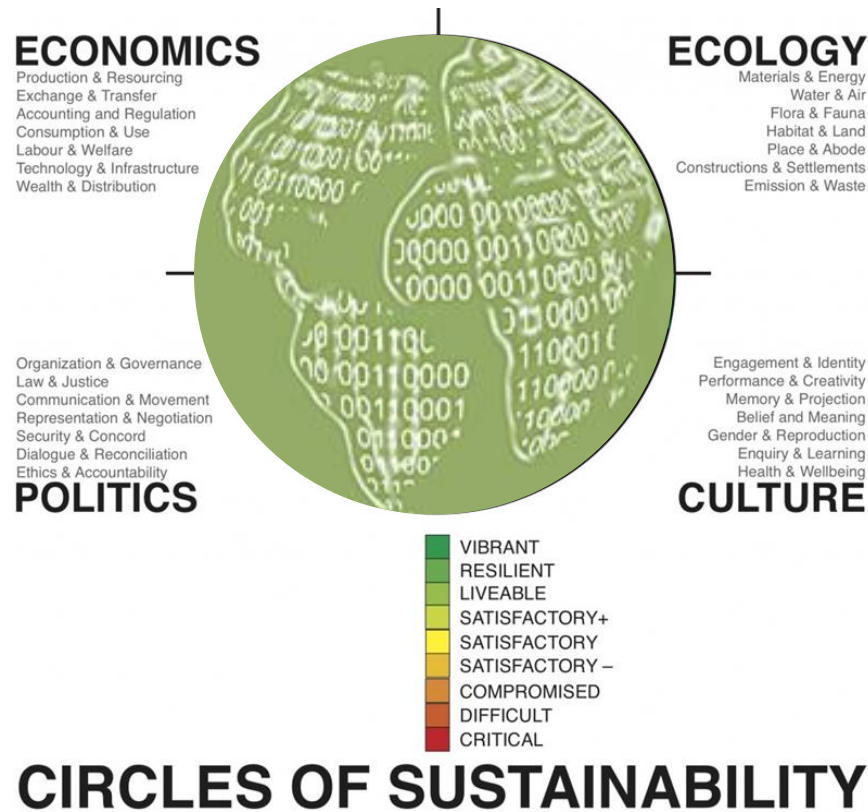
Sustainability analysis helps identify strategic areas for policy, practice and investment



Circles of sustainability provide a useful lens with which to consider sustainability of digital research data

How can we create a viable support model for digital access and preservation?

Why is digital preservation and access such a hard sell?

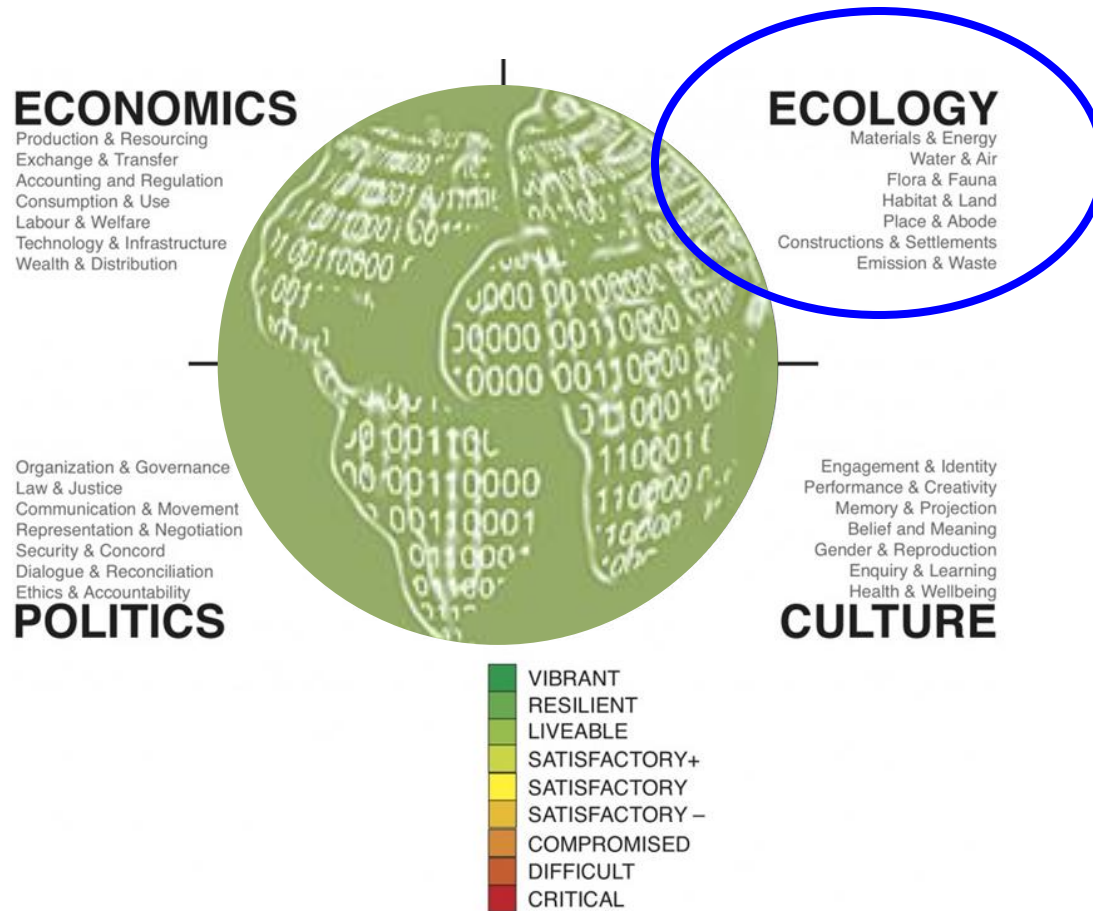


What infrastructure is needed to support digital stewardship and preservation?

How do we maximize the access, sharing and exchange of digital research data?



What infrastructure is needed to support digital stewardship and preservation?



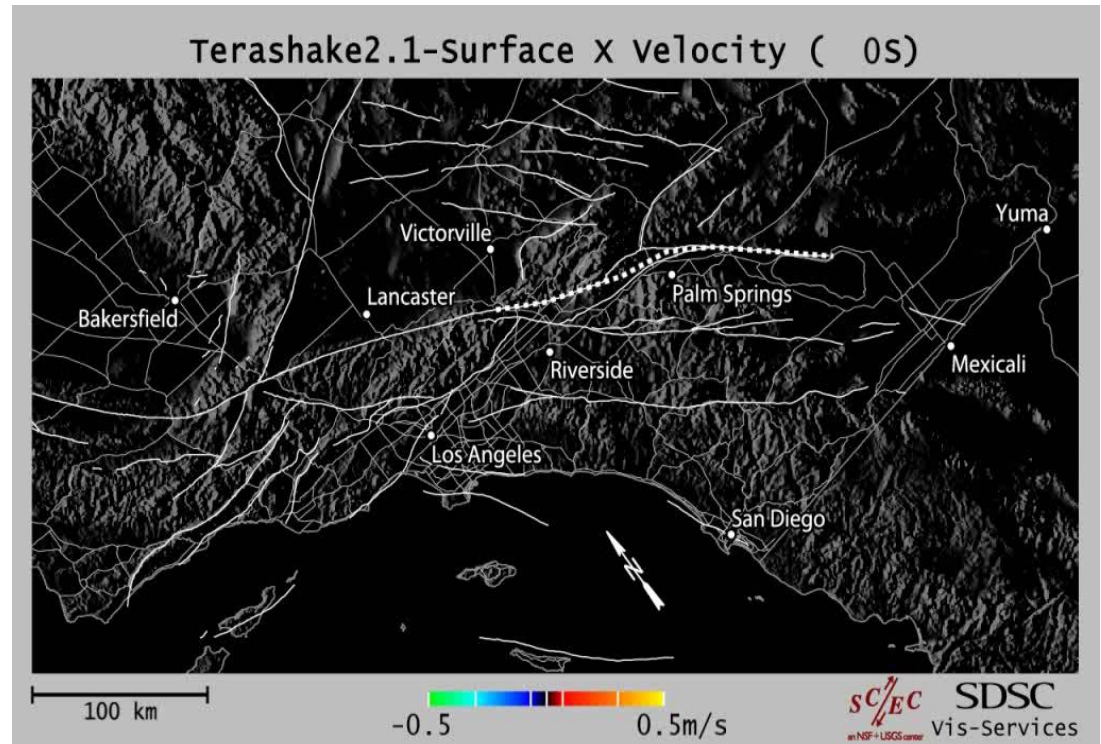
CIRCLES OF SUSTAINABILITY



TeraShake simulation of 7.7 earthquake on the lower San Andreas fault

Earthquake simulations enable

- Enhanced **scientific understanding** of the physical world
- More strategic plans for bridge, building and other physical infrastructure reinforcements to **increase safety**
- Better **disaster response planning** for police, fire fighters, ER teams in high-risk areas to increase their effectiveness



Simulation courtesy of Amit Chourasia, SDSC, Table information courtesy of Southern California Earthquake Center

Terashake data infrastructure*

- **Data Management**
 - 10 Terabytes moved per day during execution over 5 days
 - Derived data products registered into SCEC digital library (total SCEC library has 168 TB)
- **Data Post-processing:**
 - *Movies* of seismic wave propagation
 - Seismogram formatting for interactive on-line analysis
 - *Derived data:*
 - Velocity magnitude
 - Displacement vector field
 - Cumulative peak maps
 - Statistics used in visualizations



* circa ~2007

TeraShake Resources

Computers and Systems

- 80,000 hours on IBM Power 4 (DataStar)
- 256 GB memory p690 used for testing, p655s used for production run, TeraGrid used for porting
- 30 TB Global Parallel file GPFS
- Run-time 100 MB/s data transfer from GPFS to SAM-QFS
- 27,000 hours post-processing for high resolution rendering

People

- 20+ people for IT support
- 20+ people in domain research

Storage

- SAM-QFS archival storage
- HPSS backup
- Storage Resource Broker collection with 1,000,000 files

Technical infrastructure critical part of the ecosystem for data-driven innovation

Data access via **portals**, science gateways, etc.

Database and data collection **systems**

Data **services** to support use and re-use

Data analysis **algorithms**, data-driven models and simulations

Data **visualization** tools

Semantic **frameworks**

Data **management** systems

Data **storage**



Social, organizational, and human infrastructure for data-driven results equally important



Policy



Systems
Interoperability



Common
Standards



Sustainable
Economics



Community Practice



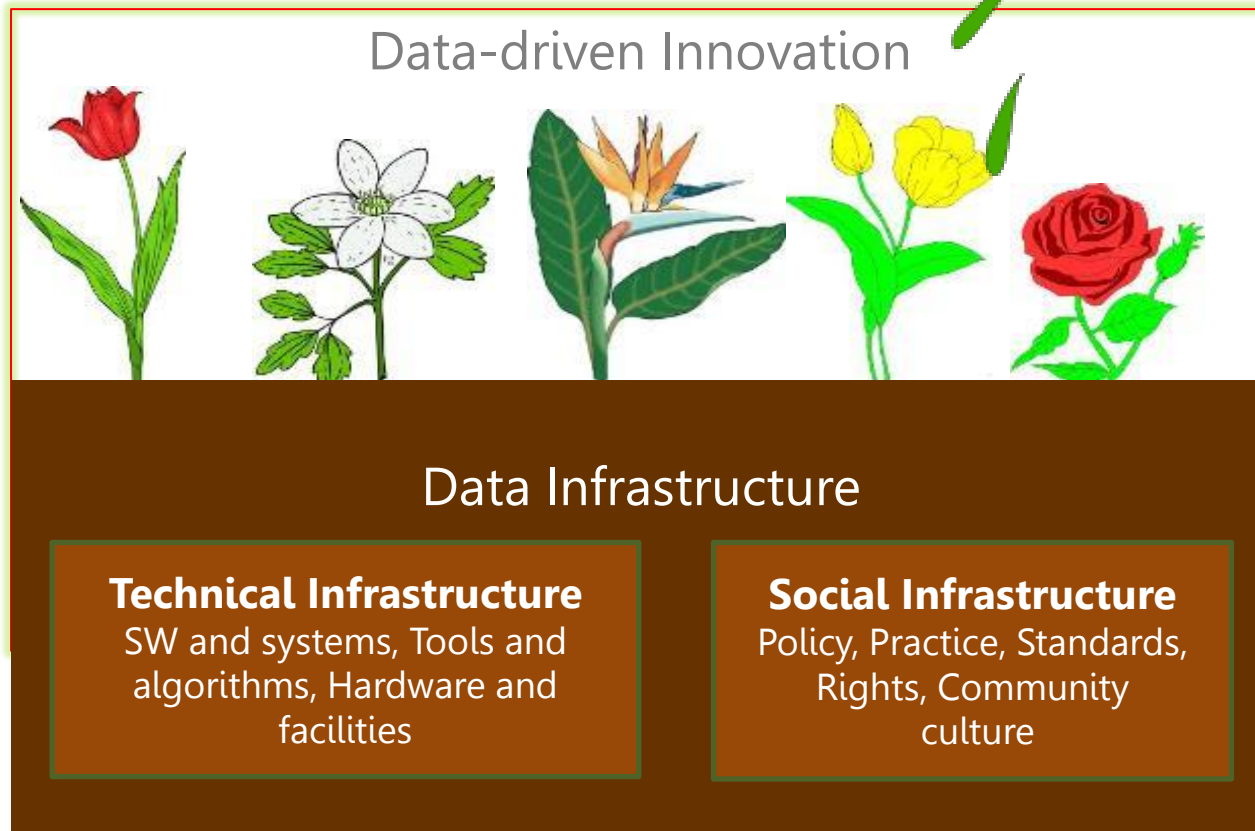
Workforce and
training



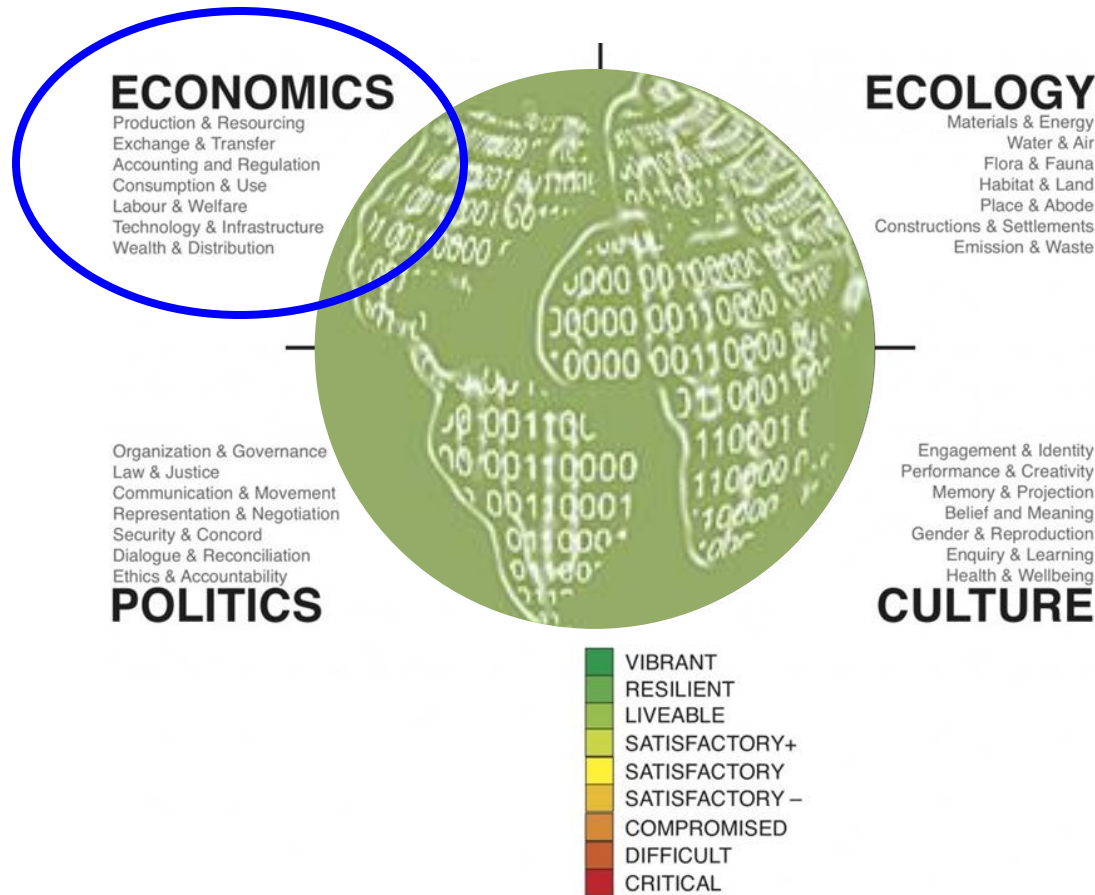
Traffic Image:
Mike Gonzalez

Fran Berman

Data-driven ecosystem requires multiple kinds of infrastructure



How can we create a viable support model for digital access and preservation?



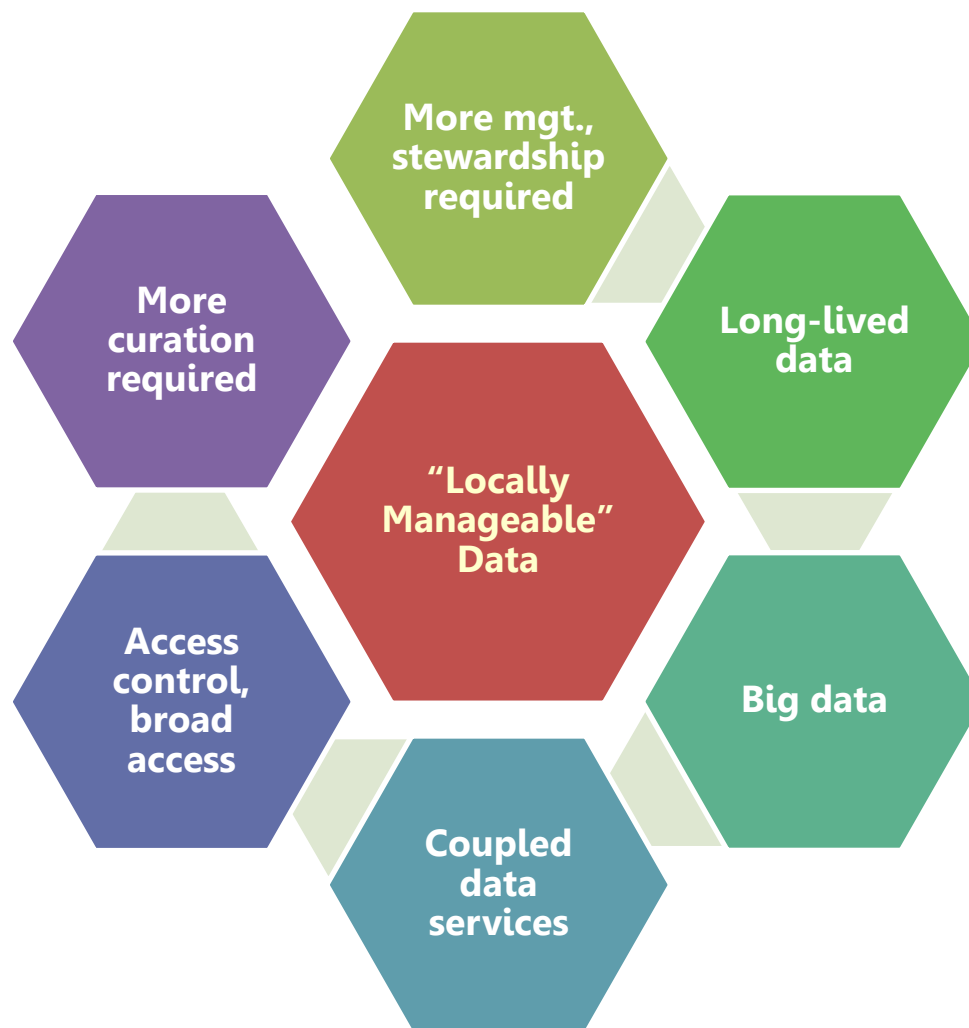
CIRCLES OF SUSTAINABILITY



Data economics: **Responsible data stewardship** requires a viable business model for sustaining its underlying infrastructure

Data infrastructure costs increase with usage, stewardship and access requirements, perceived value

Greater costs at the extremes (including “big” data) ...

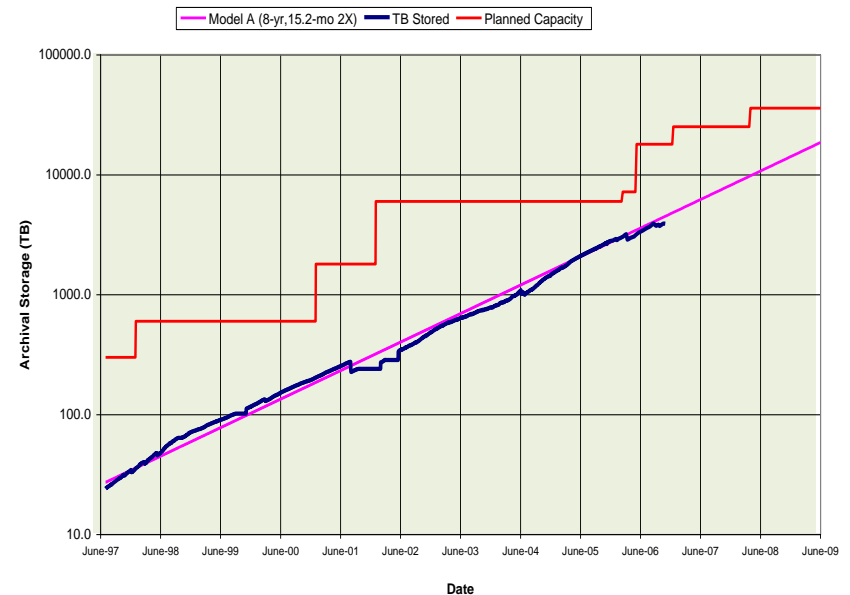


It's not just about the cost of storage

Data Infrastructure costs include

- Maintenance and upkeep
- Software tools and packages
- Utilities (power, cooling)
- Space
- Networking
- Security and failover systems
- People (expertise, help, infrastructure management, development)
- Training, documentation
- Monitoring, auditing
- Reporting costs
- Costs of compliance with regulation, policy, etc. ...

Resources and Resource Refresh



SDSC Data Storage Growth '97-'09

- *Most valuable data replicated*
- *As research collections increase, storage capacity must stay ahead of demand*



Information courtesy of Richard Moore,
SDSC

Increased requirements for access mean increased need for data infrastructure

- Increasing U.S. requirements for public access to research data
- February 2013 requirements for public access to publications
 - Strategy for creating public-private partnerships
 - Strategy for increasing public access, dissemination
 - **No new money** for the existing agencies

The New York Times
The Opinion Pages

WORLD U.S. N.Y. / REGION BUSINESS TECHNOLOGY SCIENCE HEALTH SPORTS OPINION

WE'RE READY TO WORK FOR YOU.

EDITORIAL
We Paid for the Research, So Let's See It
Published: February 25, 2013

The Obama administration is right to direct federal agencies to make public, without charge, all scientific papers reporting on research financed by the government. In a memorandum issued on Friday, John Holdren, the president's science adviser, directed federal agencies with more than \$100 million in annual research and development expenditures to develop plans for making the published results of almost all the research freely available to everyone within one year of publication.

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Opinion

The agencies must submit plans to the [White House Office of Science and Technology Policy](#) within the next six months that will apply to both peer-reviewed scientific papers and digital manuscripts and supporting data.

Under current procedures, much of the federally financed research is published in scientific and medical journals that can cost thousands of dollars a year for a subscription and \$30 or more for an individual copy. That is simply too much for many people and small businesses to afford.

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WASHINGTON, D.C. 20502

February 22, 2013

THE HEADS OF EXECUTIVE DEPARTMENTS AND AGENCIES

Access to the Results of Federally Funded Scientific Research

The administration is committed to ensuring that, to the greatest extent and with the fewest constraints possible and consistent with law and the objectives set out below, the direct results of federally funded scientific research are made available to and useful for the public, industry, and the scientific community. Such results include peer-reviewed publications and digital data.



Economics of public access: Who pays the data bill?

POLICYFORUM

SCIENCE PRIORITIES

Who Will Pay for Public Access to Research Data?

Francine Berman¹ and Vint Cerf²

On 22 February, the U.S. Office of Science and Technology Policy (OSTP) released a memo calling for public access for publications and data resulting from federally sponsored research grants (1). The memo directed federal agencies with more than \$100 million R&D expenditures to “develop a plan to support increased public access to the results of research funded by the Federal Government.” Perhaps even more succinctly, a subsequent *New York Times* opinion page sported the headline “We Paid for the Research, So Let’s See It” (2). So who pays for data infrastructure?

The OSTP memo requested agencies to provide plans by September 2013 that describe their strategies for providing public access to both research publications and research data. Plans are expected to be implemented using “resources within the existing agency budget,” i.e., no new money should be expected. Currently, federal R&D agencies are working hard to foster approaches to public access, to assess needs for supporting partnerships and enabling infrastructure, and to develop timetables and approaches for implementation. We focus here on the research data portion of the OSTP memo.

When economic models and infrastructure are not in place to ensure access and preservation, federally funded research data are “at risk.”



Research data of community value are supported today in a variety of ways. Some of them, like those in the Protein Data Bank (PDB) (3)—a database of protein structure information used heavily by the life sciences community—are supported by the public sector. (In particular, U.S. funding from the National Science Foundation (NSF), the National Institutes of Health (NIH), and the U.S. Department of Energy for the Research Collaboratory for Structural Bioinformatics (RCSB) PDB is \$6.3 million annually.) Other data, as from the Longitudinal Study

What happens to valuable data when project funding ends? Consider, for example, a 3-year research project in which valuable sensor data are collected from an environmentally sensitive area. Those data may be useful not just for the duration of the project but for the next decade or more to collaborators and a broader community of researchers. For the first 3 years, the costs of stewardship (including development of a database that supports analysis, access to the data for the community through a portal, adequate storage and management of the data collection, and so on) may be paid for by the grant. But who pays for subsequent support? In such cases, research data may become more valuable just as the economics of stewardship become less viable.

Up to this point, no one sector has stepped up to take on the problem alone, and it is unrealistic to expect as much. In the public sector, federal R&D agencies are unlikely to allocate enough resources to support all federally funded research data. The costs of



Digital Repository
@dn_ireland

Follow

Berman and Cerf "Who will pay for public access" behind paywall :(
m.sciencemag.org/content/341/61...
#ipres2013 #irony

RETWEETS

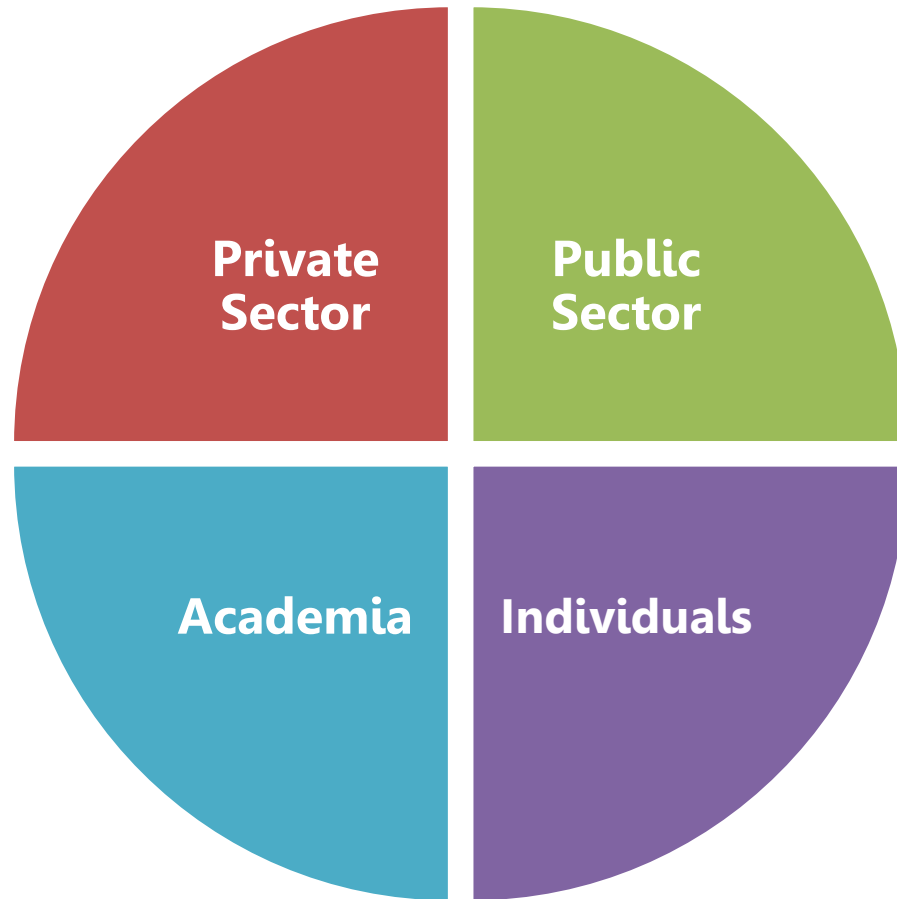
3

1:45 AM - 5 Sep 2013



Article: *Science Magazine*, August 9, 2013. Free public access link
at <http://www.cs.rpi.edu/~bermaf/>

Op-ed recommendations: **Cultivate / coordinate preservation and stewardship options in every sector**



Op-ed recommendations: **Cultivate / coordinate preservation and stewardship options in every sector**

Private Sector

- Facilitate private sector stewardship of public access research data as a public good



P D B
PROTEIN DATA BANK

PSID

Not govt.
supported

??

Govt.
supported

Public Sector

- Clarify public sector stewardship commitments: articulate what data will / won't be supported



Op-ed recommendations: **Cultivate / coordinate preservation and stewardship options in every sector**

Academic Sector

- Create sustainable university library and repository stewardship solutions

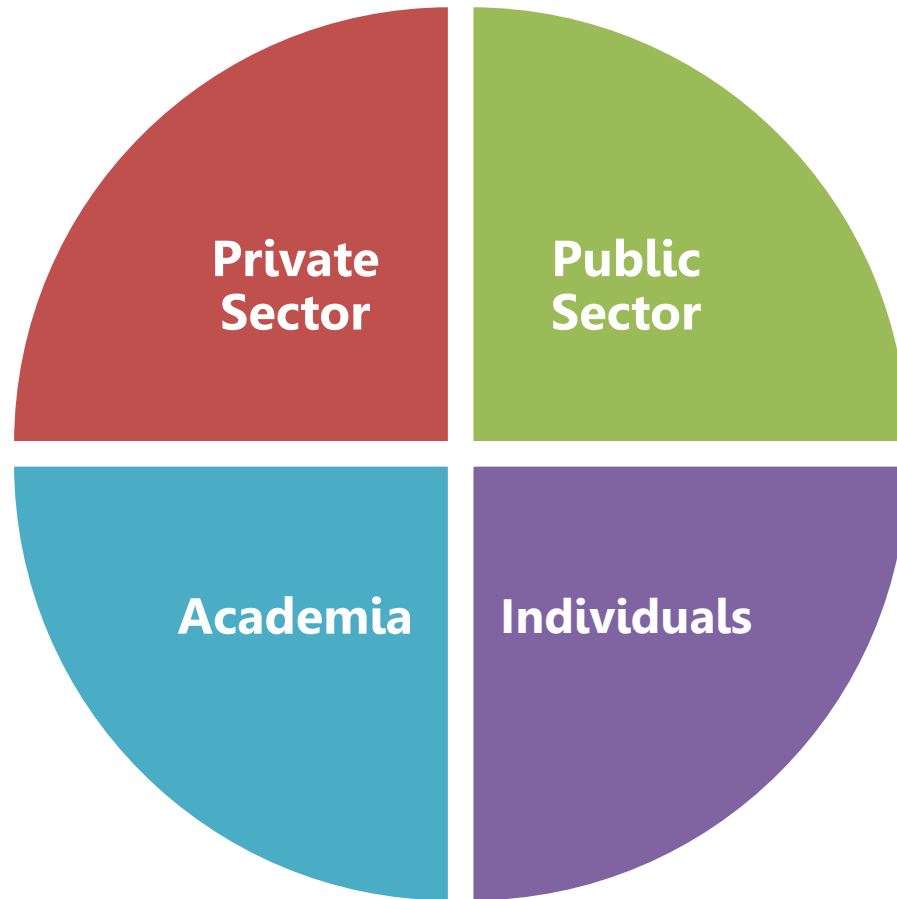


Individuals

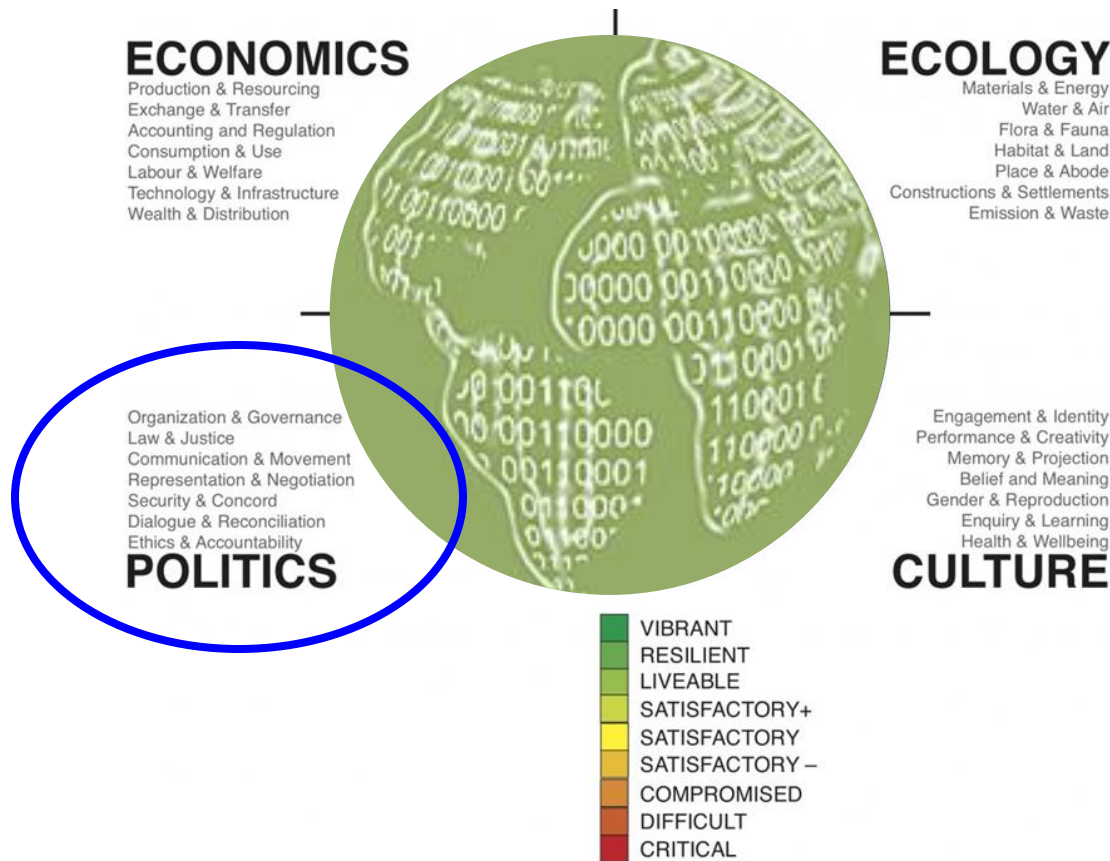
- Evolve research culture to take advantage of what works in the private sector



No magic economic bullet. **Coordination between approaches can provide even more robust options for stewardship**



Why is digital preservation and access such a hard sell?



CIRCLES OF SUSTAINABILITY



Academic and public sector infrastructure challenges

	Research	Infrastructure
What is newsworthy?	New discoveries and breakthroughs	Failure of systems
What is the value proposition?	Domain and national leadership and competitiveness	Enabler of innovation
What is the funding model?	Fixed-term funding	Continuous long-term support
Who is responsible?	Various govt. R&D agencies, NGOs, etc.	No-one's major priority

The screenshot shows the top portion of a New York Times article. At the top, there are several small news snippets with images: 'Doctors Train to Spot Signs of A.D.H.D. in Children', 'Obama's Vote-Getting Tactics Struggle to Find the Uninsured', 'Obama Orders New Efficiency for Big Trucks', 'Public Defenders, Bolstered by a Work Analysis and Rulings, Push Back Against a Tide...', and 'F.B.I. Joins Noose Is La...'. Below these is a green banner for 'nyserda' with the text 'Cost savings. Increased by the power of nyserda' and 'Get your Solutions Kit now.' The main article title is 'A Severe Winter Breaks Budgets as Well as Pipes' by Jesse McKinley and Richard Pérez Peña, dated Feb. 15, 2014. The article text begins: 'SYRACUSE — Century-old water mains here have ruptured behind City Hall, popped in residential areas and split under the city's bar and restaurant district. The mayor says she has personally reported three breaks, while exhausted crews work 18-hour shifts in'. To the right of the text is a photograph of construction workers in safety vests and hard hats working on a street with large pipes and equipment.

Stephanie A. Miner, the Syracuse mayor, said [infrastructure is] too often overlooked when politicians want to spend money on economic development. "You don't cut ribbons for new water mains, but that's really what matters."

NY Times, February 15, 2014

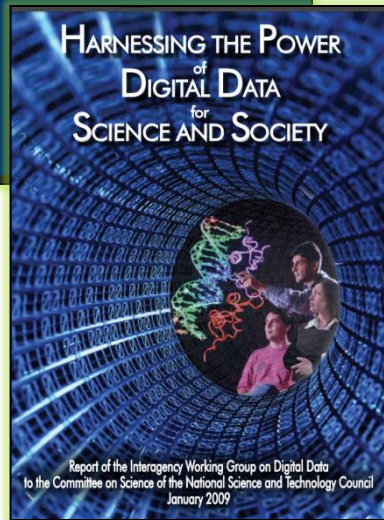
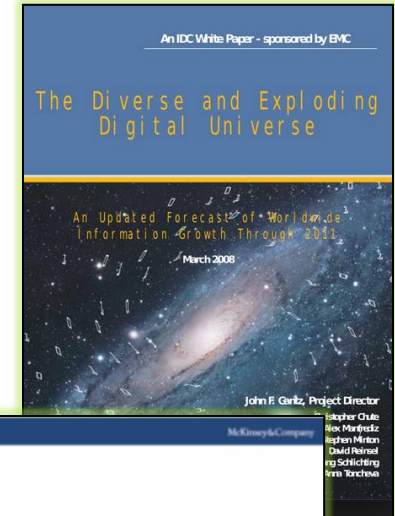
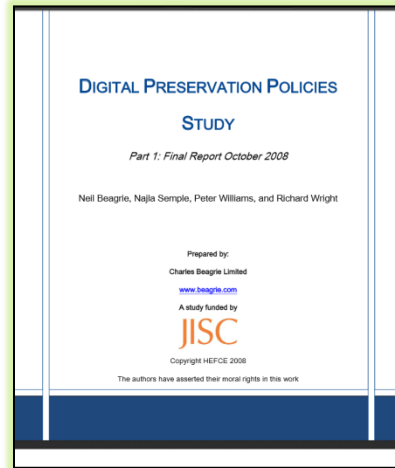
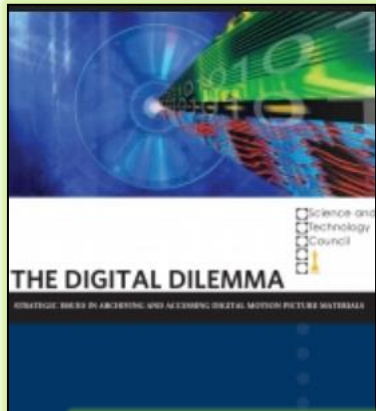
Systemic challenges to sustainable stewardship

(from the Blue Ribbon Task Force Interim Report [at brtf.sdsc.edu])

- **Poor alignment between stakeholders** in the digital preservation and access world and their roles, responsibilities and support models
- There is a **lack of institutional, enterprise, and/or community incentives** to support the collaboration needed to enforce sustainable economic models
- **Complacency that current practices are “good enough”** and / or the **problem is not urgent.** Both “carrots” (in the form of recognition that access to information is an investment in current and future success) and “sticks” (in the form of penalties for non-compliance, accounting of explicit opportunity costs, or costs of lost information) are needed
- Fear that digital access and **preservation is too big to take on**



Reports are not enough, but good reports can provide compelling evidence needed by stakeholders for action



Making the case: **Quantifying / qualifying advancement and fear**

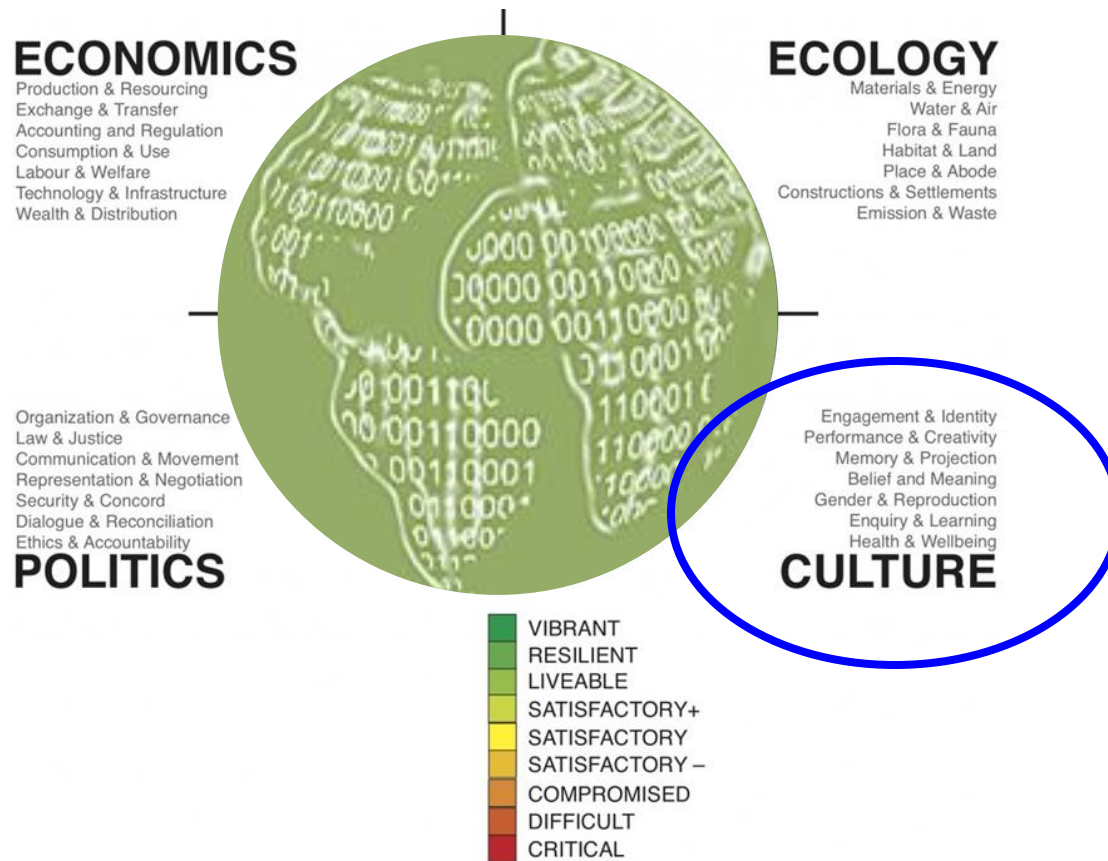
- **Political capital critical for prioritization and investment in digital stewardship and preservation**
- *Arguments that influence stakeholders and their enablers:*
 - Better economic growth / more jobs
 - Greater leadership / accelerated innovation
 - Increased reputation / competitive advantage
 - Fear of disaster / loss of reputation



Big Data: The next frontier for innovation, competition and productivity

McKinsey Global Institute, 2011

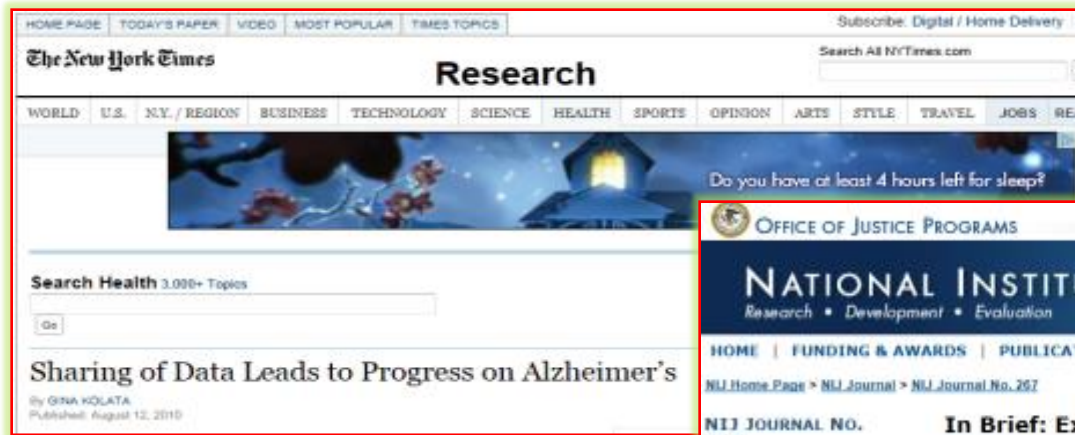
How do we maximize the access, sharing and exchange of digital research data?



CIRCLES OF SUSTAINABILITY



Data-sharing driving innovation across research cultures



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Sharing of Data Leads to Progress on Alzheimer's

By GINA KOLATA
Published: August 12, 2010



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NIJ JOURNAL NO. 267

In Brief: Expanding Research by Sharing Data

by NIJ staff

NIJ makes data available for future research.

Director's Message

Police Use of Force: The Impact of Less-Lethal Weapons and Tactics



 FOSTERING EARTH OBSERVATION & GLOBAL AWARENESS

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Sharing Data to Keep European Oceans Healthy

By Brendon Bosworth, posted on November 28th, 2012 in Articles, Earth Observation, Oceans

In August, the world's seas scored 60 out of a possible 100 on a [global marine health index](#), which assessed the status of the world's seas through an ecosystems approach. Marine pollution, overfishing and increased greenhouse gas emissions combine to pose a suit of threats to the planet's saltwater systems.



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09/13/2012

Astronomers Release Unprecedented Data Set on Celestial Objects that Brighten and Dim

PASADENA, Calif.—Astronomers from the California Institute of Technology (Caltech) and the University of Arizona have released the largest data set ever



World-wide national and professional communities focusing on research data sharing, access, use

A Europe-Japan-United States GNSS data-sharing pilot project for the Geohazard Supersites and Natural Laboratories

Falk Amelung, University of Miami, USA (GEO task lead)
 Craig Dobson, NASA and Committee of Earth Observation Satellites (CEOS)
 Rui Fernandes, EOS and EUREF <rmanuel@rli.ubi.pt>



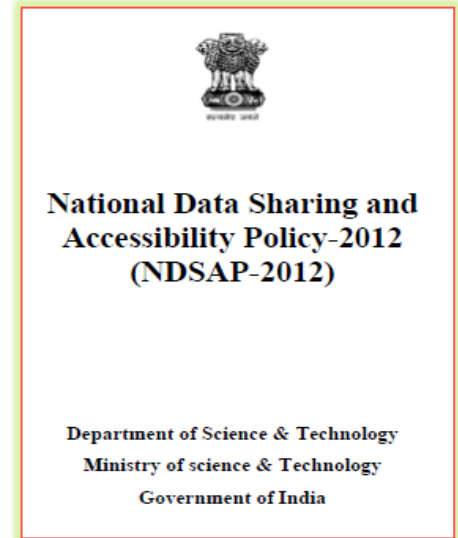
Science, Humanities, Arts Communities



E-Infrastructure professionals, data analysts, data center staff, ...



Libraries, Archives, Repositories, Museums



Data Scientists



The Research Data Alliance (RDA)

- Global community-driven organization launched in March 2013 to accelerate data-driven innovation
- RDA focus is on building the **social, organizational and technical infrastructure** to
 - *reduce barriers to **data sharing and exchange***
 - *accelerate the development of coordinated global data infrastructure*



CREATE → ADOPT → USE



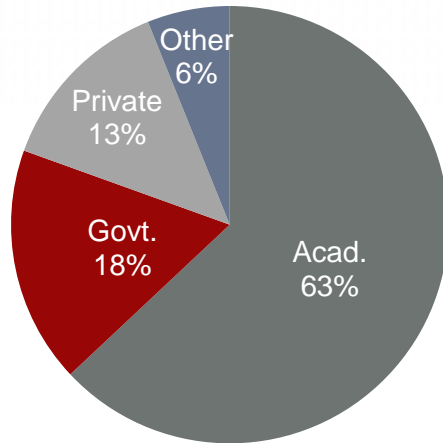
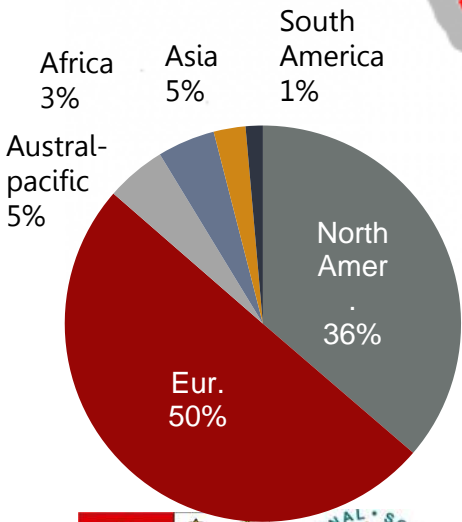
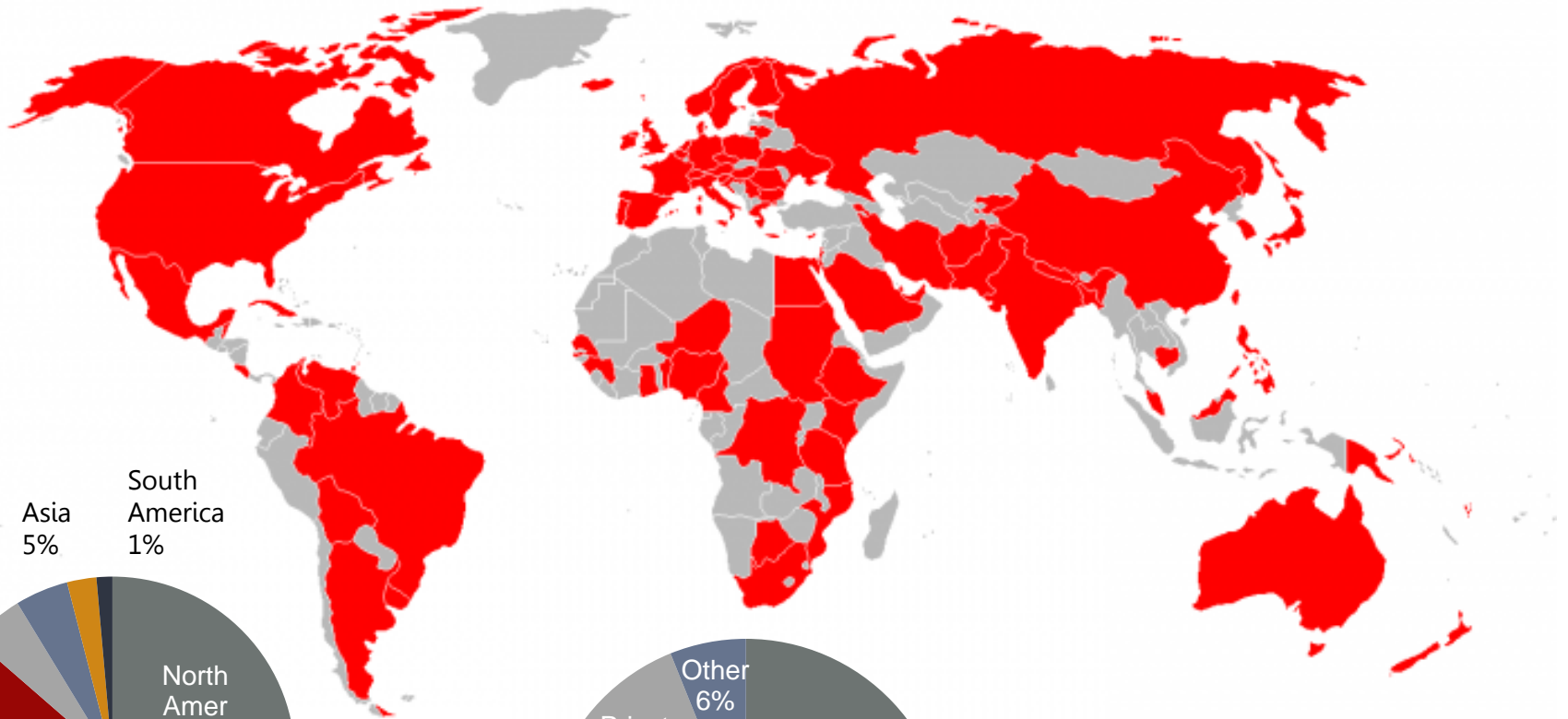
RDA Members come together as

- **Working Groups** – 12-18 month efforts to build, adopt, and use specific pieces of infrastructure
- **Interest Groups** – longer-lived discussion forums that spawn Working Groups as specific pieces of needed infrastructure are identified.

Working Group efforts focus on the development and use of data sharing infrastructure

- **Code, policy, infrastructure, standards, or best practices that are adopted and used** by communities to enable data sharing
- **“Harvestable” efforts** for which 12-18 months of work can eliminate a roadblock
- **Efforts that have substantive applicability** to groups within the data community, but may not apply to everyone
- **Efforts for which working scientists and researchers can start today**

The RDA Community Today: **Over 2300** members from **96 countries** (as of 9/14)



Map courtesy traveltip.org



Precipitous growth



RDA Plenary I / Launch
Gothenburg, Sweden

First "neutral space" community meeting (Data Citation Summit)

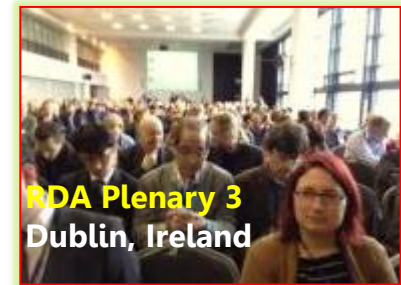
First Organizational Assembly

6 co-located events

First Working Groups and Interest Groups

First Organizational Partner Meet-up

14 BOF, 12 Working Groups, 22 Interest Groups



RDA Plenary 3
Dublin, Ireland

First BOFs

380 participants from 22 countries

497 participants

Global Data Planning Meeting: October 2012

240 participants

RDA Launch / First Plenary

RDA Second Plenary

RDA Third Plenary

RDA Fourth Plenary

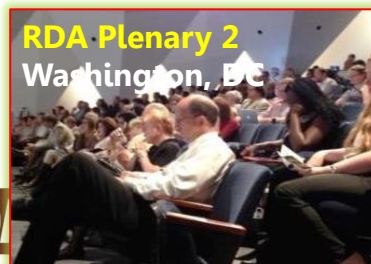
March 2013

September 2013

March 2014

September 2014

First RDA organizational telecon: August 2012



RDA Plenary 2
Washington, DC

First Working Group exchange meeting



RDA Plenary 4
Amsterdam



RESEARCH DATA ALLIANCE

RDA Interest (IG) and Working Groups (WG)

by focus 1 (as of 9/14)

* under review

Domain Science - focused

- Toxicogenomics Interoperability IG
- Structural Biology IG
- Biodiversity Data Integration IG
- Agricultural Data Interoperability IG
- Wheat Data Interoperability WG
- Digital Practices in History and Ethnography IG
- Geospatial IG
- Marine Data Harmonization IG
- Metabolomics IG
- RDA/CODATA Materials Data Infrastructure and Interoperability IG
- Research Data Needs of the Photon and Neutron Science Community IG
- Defining Urban Data Exchange for Science IG*
- The BioSharing Registry: Connecting data policies, standards and databases in the life sciences WG*
- Urban Quality of Life Indicators WG*

Community Needs - focused

- Community Capability Model IG
- Engagement IG
- RDA / CODATA Summer Schools in Data Science and Cloud Computing in the Developing World WG*
- Development of Cloud Computing Capacity and Education in Developing World Research IG
- Data for Development IG
- Education and Training on handling of research data IG



RDA Interest (IG) and Working Groups (WG)

by focus 2 (as of 9/14)

* under review

Reference and Sharing - focused

- Data Citation WG
- Standardization of Data Categories and Codes WG
- RDA/CODATA Legal Interoperability IG
- Reproducibility IG*
- Data Description Registry Interoperability Working Group
- RDA / WDS Publishing Data Bibliometrics WG

Data Stewardship and Services - focused

- Research Data Provenance IG
- Preservation e-infrastructure IG
- RDA / WDS Publishing Data Services WG
- RDA / WDS Publishing Data Workflows WG
- Long-tail of Research Data IG
- RDA/WDS Publishing Data IG
- RDA/WDS Repository Audit and Certification WG
- Domain Repositories Interest Group
- Brokering Interest Group
- ELIXIR Bridging Force IG*
- Libraries for Research Data IG*
- RDA / WDS Certification of Digital Repositories IG
- RDA / WDS Publishing Data Cost Recovery for Data Centres IG

Base Infrastructure - focused

- Data Foundation and Terminology WG
- Metadata Standards Directory WG
- Practical Policy WG
- PID Information Types WG
- Data Type Registries WG
- Data in Context IG
- Big Data Analytics IG
- Data Brokering WG*
- Federated Identity Management IG
- Metadata IG
- PID Interest Group
- Service Management IG
- Data Fabric IG

Organizations committed to joining RDA

■ Organizational Members:

- Alliance for Permanent Access
- American University Library
- Australian National Data Service
- Barcelona Supercomputing Center - Centro Nacional de Supercomputación
- Columbia University Library
- CNRI
- CSC
- Digital Curation Center
- EIROForum IT Working Group
- eResearch Services and Scholarly Application Development Division of Information Services, Griffith University
- European Data Infrastructure (EUDAT)
- National Institute of Advanced Industrial Science and Technology (AIST), Japan
- International Association of STM Publishers
- Internet2

- Microsoft Research
- NZ eScience Infrastructure
- Purdue University Libraries
- Research Data Canada
- Scholarly Publishing and Academic Resources Coalition (SPARC)
- Washington University in St. Louis Libraries
- Science and Technology Facilities Council

■ Affiliates

- CODATA
- ICSU World Data System
- ORCID
- DataCite
- Global Alliance for Genomics and Health
- CASRAI



Accelerating data sharing infrastructure, coalescing culture: **Next steps for the RDA**

More Infrastructure

Continuing pipeline of infrastructure deliverables adopted and used to accelerate data sharing
Increasing coordination of infrastructure

Effective Community

Increasing cross-boundary collaborations between domains, sectors, organizations

Synergistic Programs

International and regional programs focusing on workforce, outreach, expansion of infrastructure impact

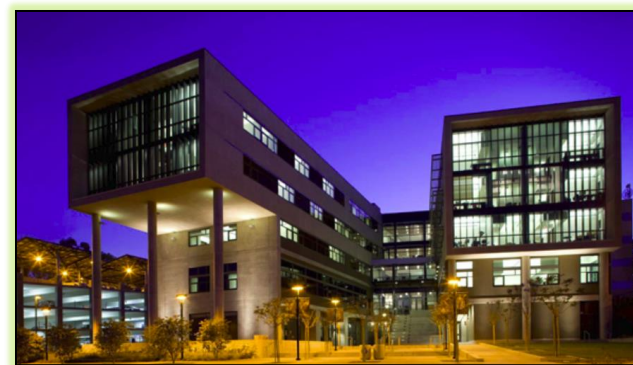
Partnership with Organizations

New partners in the Organizational Assembly
Focused strategy to support development of industry infrastructure for data sharing



2015 Focus: **Development and adoption of first RDA deliverables**

- **March 9-11, 2015: RDA/US hosting RDA Plenary 5 in San Diego**
 - Working Meeting of the RDA with co-located community meetings
- **San Diego Supercomputer Center hosting RDA Adoption Day on March 8**



Thank You

ECONOMICS

Budget realistically for the costs of data stewardship and preservation

Prioritize the “data bill” at the same level as other critical infrastructure.

POLITICS

Adopt / support policy and practice that enables the development and continued maintenance of sustainable stewardship, data sharing, and broad access

ECOLOGY

Create and implement a **data management and stewardship plan** for your project for a reasonable fixed term of time.

Make your data available to the community (as appropriate) by curating it and ingesting it into a publicly accessible repository

CULTURE

Contribute /create a local / community culture of **data sharing**

Cite and publish your data when you write about your results. Work with your professional societies and conferences to include **“data sessions”** (*idea from Sibel Adali*)

